



# APPROVE WITH PROVISOS

License Number:	Date Issued:	Months Valid:
050803042	03/06/2025	48

License is hereby granted to the applicant for the commodity described in the attached application to be permanently exported from the United States. This license may be revoked , suspended, or amended by the Secretary of State without prior notice whenever the Secretary deems such action advisable.

The United States Government position on this case consists of this 1 page decision memo and the attached 6 page application. Both must be present for the USG position to be valid.

The POC for this USG action is Conrad OConnell, 771-205-2402, [oconnellcm@state.gov](mailto:oconnellcm@state.gov).

**PROVISOS:**

(U) 1. Approval is subject to the applicant's self-imposed conditions.

U.S. Department of State  
**Application for Permanent Export of Unclassified Defense  
Articles, Related Technical Data, and Defense Services**

OMB APPROVAL NO. 1405-0003  
EXPIRATION DATE: 01/31/2025  
\*ESTIMATED BURDEN: 1 HOUR

\*PAPERWORK REDUCTION ACT STATEMENT: Public reporting burden for this collection of information is estimated to average 1 hour per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: Department of State (A/GIS/DIR) Washington, D.C. 20520.

**1. Date Prepared**

01/10/2025

**2. DDTC Registration Code**

M11803

**3. Country of Ultimate Destination**

International Waters

**4. Probable Port of Exit from U.S.**

Port 1: San Diego, CA

Port 2: San Diego, CA

**5. Applicant**

Applicant Is: Exporter  
Name: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA  
Attention: Marci Copeland  
Address: 1111 FRANKLIN ST. 12TH FLOOR  
City: Oakland  
State: California  
ZIP Code: 94607  
Country: United States  
Telephone: 510-987-9580

**6. U.S. Government Personnel (not PM/DDTC) Familiar with Commodity**

Name: Mandy Shoemaker  
Telephone: 805-982-5872  
Extension:  
Agency: Navy

Name: Jeff Leonard  
Telephone: 812-863-7070  
Extension:  
Agency: Navy

**7. Applicant Contact for Additional Information**

Name: Laura Provencher  
Telephone: 858-534-4715  
Extension:

Name: Dr. John Hildebrand  
Telephone: 858-534-4069  
Extension:

**8. Description of Transaction**

- A. This application represents ONLY a completely new shipment
- B. This Application has related license #

☒ was licensed to the country in Block 3 of the first page under license #  
 050719007  
 050543146  
 050556643  
 050712002  
 050586034

☐ was licensed to other countries under license #

☐ was returned without action under voided license #

☐ was denied to the country in Block 3 of the first page under voided license #

C. This Application is in reference to agreement #

D. If the commodity is being financed under

☒ Not Applicable

☐ Foreign Military Sale #

Case Numbers:

☐ Foreign Military Financing #

Case Numbers:

☐ Grant Aid Program #

Case Numbers:

E. This application is related to a disclosure filed with Defense Trade Controls Compliance

☐ Yes ☒ No

### 9 - 13. Commodity Information

Item	9. Quantity	10. Commodity	11. USML Category	12. \$ Value
1	<b>Quantity:</b> 3000  <b>Unit Type:</b> Units	SSQ-53F DIFAR sonobuoys  <b>Defense Article Type:</b> Hardware	XI (a)(1)(i) Is a DSP-83 attached? <input type="radio"/> Yes <input checked="" type="radio"/> No If SME, and DSP-83 is not attached, state why: There is no foreign end user or recipient for the sonobuoys as these are being deployed off the California coast in international waters.	\$2,165,190
2	<b>Quantity:</b> 500  <b>Unit Type:</b> Units	SSQ-53G sonobuoys  <b>Defense Article Type:</b> Hardware	XI (a)(1)(i) Is a DSP-83 attached? <input type="radio"/> Yes <input checked="" type="radio"/> No If SME, and DSP-83 is not attached, state why: There is no foreign end user or recipient for the sonobuoys as these	\$675,000

Item	9. Quantity	10. Commodity	11. USML Category	12. \$ Value
			are being deployed off the California coast in international waters.	
13. Total Value				\$2,840,190

  

**14. Foreign End-User**

Name: The Regents of the University of California, Scripps Institution of Oceanography  
Address: Nimitz Marine Facility 297 Rosecrans Street  
City: San Diego  
Country: International Waters

  

**15. Manufacturer of Commodity**

Name: Ultra Electronics USSI  
Address: 4578 East Park 30 Drive  
City: Colombia City  
Country: United States  
State: Indiana  
Zip Code: 46725

Name: Sparton Electronics  
Address: 5612 Johnson Lake Road  
City: Deleon Springs  
Country: United States  
State: Florida  
Zip Code: 32130

  

**16. Foreign Consignee**

Name: The Regents of the University of California, Scripps Institution of Oceanography  
Address: Nimitz Marine Facility 297 Rosecrans Street  
City: San Diego  
Country: International Waters

  

**17. Source of Commodity**

Name: United States Navy Applied Logistics Services, Inc.  
Address: PMA-264 Sonobuoy Logistics PO Box 68  
City: Crane  
Country: United States  
State: Indiana  
Zip Code: 47522-0068

  

**18. Foreign Intermediate Consignee**

☒ None

  

**19. U.S. Seller**

Name: The Regents of the University of California  
Address: Ethics, Compliance & Audit Services 1111 Franklin St., 5th Floor  
City: Oakland  
State: California

ZIP Code: 94607-5200

## 20. Specific Purpose

- ☐ Off-Shore procurement
- ☐ Brokering (22 CFR 129)
- ☒ Other

A current project is sponsored by the U.S. Navy. The U.S. Navy (through Applied Logistics Services, Inc., PMA-264 Sonobuoy Logistics) supplies the sonobuoys to SIO in support of the U.S. Navy Marine Mammal Monitoring project. Four times a year, SIO research vessels deploy up to 64 sonobuoys off the Southern California coast in International Waters for the purpose of monitoring marine mammals in the SOCAL Naval Test Range. Researchers plan to deploy up to 2,815 sonobuoys over the course of a ten-year period (including the potential deployment of spares) for this project. This research involves deploying DIFAR sonobuoys to depths of 30 meters, 1 nautical mile from a sampling station. Oceanographic sampling obtains recordings for 2-3 hours. An acoustic technician monitors the acoustic signals with passive SSQ-53F or SSQ-53G sonobuoys for cetacean calls using a scrolling spectrogram display and headphones. Mysticete calls, (sperm whale clicks) as well as low frequency dolphin calls, (including whistles, buzzes, and the lower frequency components of clicks) are recorded with this system. The sonobuoys cannot be recovered after deployment. They are programmed to self-destruct after a period of 1, 2, 4, or 8 hours and then sink to the ocean floor. The results of basic and fundamental science research using the sonobuoys are reported to sponsors and published in open peer-reviewed academic literature.

## 21. U.S. Consignor/Freight Forwarder

Name: The Regents of the University of California, Scripps Institution of Oceanography  
 Address: Nimitz Marine Facility 297 Rosecrans Street  
 City: San Diego  
 State: California  
 Zip Code: 92106

## 22. Applicant's Statement

I, Garrett Eaton, an empowered official (22 CFR 120.67) or an official of a foreign government entity in the U.S., hereby apply for a license to complete the transaction described above; warrant the truth of all statements made herein; and acknowledge, understand and will comply with the provisions of 22 CFR 120-130, and any conditions and limitations imposed.

I am authorized by the applicant to certify the following in compliance with 22 CFR 126.13:

1. Neither the applicant, its chief executive officer, president, vice presidents, other senior officers or officials (e.g., comptroller, treasurer, general counsel) nor any member of its board of directors is:
  - a. the subject of an indictment for or has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.6 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976); or
  - b. ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government;
2. To the best of the applicant's knowledge, no party to the export as defined in 22 CFR 120.68(a) has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.6 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976); or is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government; and

### 22 CFR 126.13 Certification

- ☒ a. I am authorized by the applicant to certify that the applicant and all the parties to the transaction can meet in full the conditions of 22 CFR 126.13 as listed above.

- ☐ b. I am authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. A request for an exception to policy, as described in 22 CFR 127.11 of the ITAR, is attached.
- ☐ c. I am authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in 22 CFR 127.11 of the ITAR.
- ☐ d. I am not authorized by the applicant to certify the conditions of 22 CFR 126.13. The applicant and all the parties to the transaction can meet in full the conditions of 22 CFR 126.13 as listed above. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13.
- ☐ e. I am not authorized by the applicant to certify the conditions of 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. A request for an exception to policy, as described in 22 CFR 127.11 of the ITAR, and a letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13 are attached.
- ☐ f. I am not authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in 22 CFR 127.11 of the ITAR. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13.

#### **Compliance with 22 CFR 130**

- ☒ This transaction does not meet the requirements of 22 CFR 130.2.
- ☐ This transaction meets the requirements of 22 CFR 130.2. The applicant or its vendors have not paid, nor offered, nor agreed to pay, in respect of any sale for which a license or approval is requested, political contributions, fees or commissions in amounts as specified in 22 CFR 130.9(a).
- ☐ The applicant or its vendors have paid, or offered, or agreed to pay, in respect of any sale for which a license or approval is requested, political contributions, fees or commissions in amounts as specified in 22 CFR 130.9(a). Information required under 22 CFR 130.10 is attached.
- ☐ I am not authorized by the applicant to certify the conditions of 22 CFR 130.9(a). Please see the attached letter for such certification.

#### **CONDITIONS OF ISSUANCE**

1. This license is issued under the conditions cited in 22 CFR 120-130, including the conditions and limitations as applicable, that:
  - A. It shall not be construed as implying U.S. Government approval or commitment to authorize future exports of any defense article (hardware or technical data) on the U.S. Munitions List (USML), or a U.S. Government commitment with regard to any proposed manufacturing license, technical assistance or distribution agreement that may result from a license or other approval.
  - B. If a license is issued for technical data only, it does not authorize the export of any hardware; if a license is issued for hardware only, it does not authorize the export of any technical data, unless specifically authorized by an exemption.
  - C. The issuance of this license does not release the applicant, or anyone acting on their behalf, from complying with other requirements of U.S. law and regulations.
  - D. This transaction may be subject to end-use monitoring by the United States Government.

2. The prior written approval of the Department of State, Directorate of Defense Trade Controls, must be obtained before USML defense articles exported from the U.S. using a license or other approval, to include an ITAR exemption, may be resold, transferred, diverted, transshipped, re-exported to, or used in any country, or by any end user or for any end use, other than that described on the license or other approval.

**DISPOSITION OF LICENSE**

The final disposition of this license shall be in accordance with 22 CFR 123.22(c).

**ENDORSEMENT**

Indicate below which COMMODITY is BEING EXPORTED and maintain a CONTINUING BALANCE of the remaining value:

SHIPMENT DATE	QUANTITY	COMMODITY	SHIPMENT VALUE	XTN/ITN	INITIALS	PORT OF EXIT/ ENTRY
TOTAL AUTHORIZED VALUE:						
REMAINING BALANCE: NOTE: Continuation of additional shipments must be authenticated by use of continuation sheets in the U.S. Customs handbook.						