



**APPROVE**

<b>License Number:</b> 730078444	<b>Date Issued:</b> 02/27/2025	<b>Months Valid:</b> 48
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License is hereby granted to the applicant for the commodity described in the attached application to be temporarily exported from the United States. This license may be revoked, suspended, or amended by the Secretary of State without prior notice whenever the Secretary deems such action advisable.

The United States Government position on this case consists of this 1 page decision memo and the attached 5 page application. Both must be present for the USG position to be valid.

The POC for this USG action is Conrad OConnell, 771-205-2402, [oconnellcm@state.gov](mailto:oconnellcm@state.gov).

## U.S. Department of State

OMB APPROVAL NO. 1405-0023  
EXPIRATION DATE: 01/31/2025  
\*ESTIMATED BURDEN: 1 HOUR**APPLICATION FOR TEMPORARY EXPORT OF UNCLASSIFIED DEFENSE ARTICLES**

\*PAPERWORK REDUCTION ACT STATEMENT: Public reporting burden for this collection of information is estimated to average 1 hour per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: Department of State (A/GIS/DIR) Washington, D.C. 20520.

**1. Date Prepared**

01/10/2025

**2. DDTC Registration Code**

M11803

**3. Departure and Return**

- ☐ Single departure and return
- ☒ Series of departures and returns

**4. Specific countries of Sojourn**

International Waters

**5. Applicant**

Applicant Is: Exporter  
Name: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA  
Attention: Marci Copeland  
Address: 1111 FRANKLIN ST. 12TH FLOOR  
City: Oakland  
State: California  
ZIP Code: 94607  
Country: United States  
Telephone: 510-987-9580

**6. Probable Port of Exit from U.S.**

Probable Port of Exit from U.S. 1: San Diego, CA

Probable Port of Exit from U.S. 2: San Diego, CA

**7. Probable Port of Entry into U.S.**

Probable Port of Entry into U.S. 1: San Diego, CA

Probable Port of Entry into U.S. 2: San Diego, CA

**8. Probable Date of First Exit from U.S.**

Probable Date of First Exit from U.S.: 03/24/2025

**9. Probable Date of Final Entry into U.S.**

Probable Date of Final Entry into U.S. 03/24/2029

**10a. Applicant Contact for Additional Information**

Name: Laura Provencher  
Telephone: 858-534-4715  
Extension:

Name: Dr. John Hildebrand  
Telephone: 858-534-4069  
Extension:

**10b. U.S. Government Personnel (not PM/DDTC) Familiar with Commodity**

Name: Mandy Shoemaker  
 Telephone: 805-982-5872  
 Extension:  
 Agency Navy

Name: Jeff Leonard  
 Telephone: 812-863-7070  
 Extension:  
 Agency Navy

**11. Description of Transaction**

A. This application represents ONLY renewal of previously issued license number: 730067931

B. This Application has related license #

☒ was licensed to the country in Block 4 of the first page under license no(s)  
 730067931

☐ was licensed to other countries under license no(s)

☐ was returned without action under voided license no(s)

☐ was denied to the country in Block 4 of the first page under voided license(s)

C. This application is related to a disclosure filed with Defense Trade Controls Compliance

☐ Yes ☒ No

**12 - 16. Commodity Information**

Item	12. Quantity	13. Commodity	14. USML Category	15. \$ Value
1	<b>Quantity:</b> 1  <b>Unit Type:</b> Systems	4 Channel Low-Cost Sonobuoy Receiver	XI (a(5)(i))	\$37,497
2	<b>Quantity:</b> 1  <b>Unit Type:</b> Copies	Sonobuoy Receiver Software	XI (d)	\$1
3	<b>Quantity:</b> 1  <b>Unit Type:</b> Copies	Sonobuoy Receiver User Manual	XI (d)	\$1
16. Total Value				\$37,499

**17. Manufacturer of Commodity**

Name: Ultra Electronics USS  
Address: 4578 East Park 30 Drive  
City: Colombia City  
Country: United States  
State: Indiana  
ZIP Code: 46725

**18. Foreign Intermediate Consignee**

☒ None

**19. Source of Commodity**

Name: Ultra Electronics USS  
Address: 4578 East Park 30 Drive  
City: Colombia City  
Country: United States  
State: Indiana  
Zip Code: 46725

**20. Temporary Foreign Consignee**

☒ None

**21. U.S. Consignor/Freight Forwarder**

Name: The Regents of the University of California, Scripps Institution of Oceanography  
Address: Nimitz Marine Facility 297 Rosecrans Street  
City: San Diego  
Country: United States  
State: California  
ZIP Code: 92106

**22. Temporary Foreign End-User**

Name: The Regents of the University of California, Scripps Institution of Oceanography  
Address: Nimitz Marine Facility 297 Rosecrans Street  
City: San Diego  
Country: International Waters

**23. Specific Purpose**

Specific purpose: SIO has ongoing research projects which involve the use of the sonobuoy receivers in International Waters. To date, these U.S. Navy sponsored basic science projects determined the environmental limits to the achievable gains (array gain and processing gain) in the passive sonar equation and predict marine mammal presence off the coast of the U.S. The sonobuoys are programmed to self-destruct in International Waters and cannot be retrieved, hence UC submitted a separate DSP-5 application for the use of Category XI(a)(5) (i) sonobuoys. The use of the receivers and deployment of sonobuoys occur off a U.S. flagged vessel with the ports of departure and return to San Diego with no intermediate port stops.

**24. Is the Article an Aircraft or Vessel?**

☐ Yes ☒ No

**30. Applicant's Statement**

I, Garrett Eaton, an empowered official (22 CFR 120.67) or an official of a foreign government entity in the U.S., hereby apply for a license to complete the transaction described above; warrant the truth of all statements made herein; and acknowledge, understand and will comply with the provisions of 22 CFR 120-130, and any conditions and limitations imposed.

I am authorized by the applicant to certify the following in compliance with 22 CFR 126.13:

1. Neither the applicant, its chief executive officer, president, vice presidents, other senior officers or officials (e.g., comptroller, treasurer, general counsel) nor any member of its board of directors is:
  - a. the subject of an indictment for or has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.6 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976); or
  - b. ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government;
2. To the best of the applicant's knowledge, no party to the export as defined in 22 CFR 120.68(a) has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.6 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976); or is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government; and

#### **22 CFR 126.13 Certification**

- ☒ a. I am authorized by the applicant to certify that the applicant and all the parties to the transaction can meet in full the conditions of 22 CFR 126.13 as listed above.
- ☐ b. I am authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. A request for an exception to policy, as described in 22 CFR 127.11 of the ITAR, is attached.
- ☐ c. I am authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in 22 CFR 127.11 of the ITAR.
- ☐ d. I am not authorized by the applicant to certify the conditions of 22 CFR 126.13. The applicant and all the parties to the transaction can meet in full the conditions of 22 CFR 126.13 as listed above. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13.
- ☐ e. I am not authorized by the applicant to certify the conditions of 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. A request for an exception to policy, as described in 22 CFR 127.11 of the ITAR, and a letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13 are attached.
- ☐ f. I am not authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in 22 CFR 127.11 of the ITAR. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13.

#### **CONDITIONS OF ISSUANCE AND TEMPORARY EXPORT PROCEDURES**

1. This license is issued under the conditions cited in 22 CFR 120-130, including conditions and limitations as applicable to the specific license authorization.
2. Approval of this license shall not be construed as implying U.S. Government approval or commitment to authorize future temporary exports of any defense article on the U.S. Munitions List (USML) or any U.S. Government commitment with regard to any proposed manufacturing license, technical assistance or distribution agreement that may result from a license or other approval.

3. The issuance of a license for the temporary export of hardware does not authorize the export of any technical data, unless authorized by an exemption.
4. The issuance of this license does not release the applicant, or anyone acting on its behalf, from complying with other requirements of U.S. law and regulations.
5. This transaction may be subject to end-use monitoring by the United States Government.
6. No transfer of title is authorized to occur during the period of temporary export from the U.S. and access to the defense article by foreign persons shall only be in accordance with and for the purpose identified on the license.
7. Licenses for the temporary export of an aircraft or vessel: The applicant when using this license agrees that during its sojourn abroad (1) the aircraft/vessel will remain demilitarized or has only those capabilities that are subject of this license, (2) the applicant will maintain a valid U.S. certification or registry, (3) the aircraft/vessel will not be used in military activities, and (4) it will be operated only by a U.S. licensed operator (except during U.S. Government approved demonstrations). Also, during its sojourn abroad, the commander will not transport in the aircraft/vessel any defense articles (e.g., arms, ammunitions or implements of war) as described in the USML unless authorized in this license or by a separate license or exemption under appropriate U.S. Government regulations.
8. The prior written approval of the Department of State, Directorate of Defense Trade Controls, must be obtained before USML articles exported from the U.S. using a license or other approval, to include an ITAR exemption, may be resold, transferred, diverted, transshipped, reshipped, re-exported to, or used in any country, or by any end user or for any end use, other than that described on the license or other approval.
9. The defense articles authorized for temporary export may be shipped only from a U.S. Port where a U.S. Customs and Border Protection officer is available. It may not be shipped on any vessel, aircraft or other means of conveyance that is owned or operated by, or leased to or from, any of the proscribed countries or areas. The applicant retains the original license. Prior to each export or entry the applicant presents the original license to a U.S. Customs and Border Protection officer for verification and endorsement, having declared the export or entry in accordance with the ITAR and U.S. Customs and Border Protection procedures using the Automated Export System (AES). When a license is authorized for the temporary export of an aircraft and vessel, in addition to presenting the license for verification and endorsement, the exporter must carry the U.S. Customs and Border Protection endorsed license on board as evidence of proper export authorization. Any article temporarily exported must be returned to the U.S. prior to expiration of the license. Otherwise the applicant must request a renewal license at least 60 days prior to the expiration date to renew the authorization. In any instance when a request for renewal is not granted the exporter must immediately return the articles to the U.S.

**DISPOSITION OF LICENSE**

The final disposition of this license shall be in accordance with 22 CFR 123.22(c).